

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66292

Takahiro NAKA

Appln. No.: 09/955,048

Group Art Unit: 2166

Confirmation No.: 8499

Examiner: Not Yet Assigned

Filed: September 19, 2001

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Technology Center 2600

For: OPTIONAL PART FOR APPARATUS, APPARATUS HAVING OPTIONAL PART,  
ACCESS DEVICE, METHOD FOR DISTRIBUTING OPTIONAL PART AND INK  
CARTRIDGE

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby  
notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached  
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem  
material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three  
months from the application's filing date for an application other than a continued prosecution  
application (CPA) under § 1.53(d); (2) Before the mailing date of the first Office Action on the  
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a  
request for continued examination (RCE) under § 1.114, and therefore, no Statement under

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/955,048

ATTORNEY DOCKET NO. Q66292

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

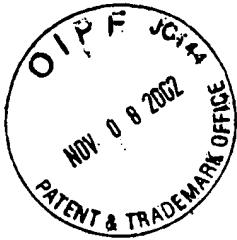
Respectfully submitted,



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Date: November 8, 2002



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#7

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
Washington, D.C. 20231

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GROUP 3600

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/955,048
				Confirmation Number	8499
				Filing Date	September 19, 2001
				First Named Inventor	Takahiro NAKA
				Art Unit	2166
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	

## **U.S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

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#### **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Japanese Abstract No. 10154180, dated June 9, 1998	Abstract

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.